2011 CAP SUPPLIERS Directory

A: Administration/Recordkeeping Services
B: Investment Management Services
C: Third-party Services - Administration/Recordkeeping
D: Third-party Services - Investment Management
E: Third-party Services - GICs

(Assets as of June 30, 2011)

BlackRock Asset Management Canada Ltd.
Suite 2500, 161 Bay Street, Toronto M5J 2S1
CONTACT Farzana Qureshi, director, global client group
TEL 416-594-4425 FAX 416-643-4049
EMAIL farzana.qureshi@blackrock.com
SERVICES PROVIDED B
ASSETS UNDER INVESTMENT MANAGEMENT
TOTAL: $7,855.9 mil
% ASSETS MANAGED BY SUBSIDIARY: 100%
SUBSIDIARY: BlackRock Institutional Trust Company N.A.

BMO Group Retirement Services
Suite 2820, 181 Bay Street, Toronto M5J 2T3
CONTACT Joan Johannson, president, BMO GRS
TEL 416-594-5075 FAX 1-866-362-2659
EMAIL joan.johannson@bmo.com
SERVICES PROVIDED A, B
ASSETS UNDER ADMINISTRATION
DC: $37,650.6 mil; Clients: 664; Lives: 115,247
Group RRSP: $2,118.4 mil; Clients: 664; Lives: 309,978
DPSP: $118.4 mil; Clients: 25; Lives: 5,356
Other Non-registered: $19.3 mil; Clients: 504; Lives: 20,924
% ASSETS MANAGED INTERNALLY: 100%
SUBSIDIARY: BMO Group Retirement Services

Connor, Clark & Lunn Financial Group
Suite 300, 181 University Avenue, Toronto M5H 3M7
CONTACT Bruce Shewfelt, head of institutional sales
TEL 416-862-2020 FAX 416-363-2089
EMAIL bshewfelt@ccgroup.com
SERVICES PROVIDED B
ASSETS UNDER INVESTMENT MANAGEMENT
DC: $2,636.0 mil
% ASSETS MANAGED INTERNALLY: 100%

Co-operators Life Insurance Company
1920 College Avenue, Regina S4P 1C4
CONTACT Ken Richards, manager, national sales & service
TEL 1-800-263-9120 FAX 416-249-5318
EMAIL ken.richards@cumis.com
SERVICES PROVIDED A, B
ASSETS UNDER ADMINISTRATION
DC: $667.3 mil; Clients: 7; Lives: 39,978
Group RRSP: $147.3 mil; Clients: 3; Lives: 43,869
EPSP: $745.1 mil; Clients: 6; Lives: 63,326
LIF/RRIF: $28.0 mil; Clients: 113
Other Non-registered: $6,599.9 mil; Clients: 10; Lives: 127,616
% ASSETS MANAGED BY SUBSIDIARY: 64.4%
SUBSIDIARY: Co-operators Life Insurance Company

CIBC Global Asset Management
Suite 3200, 1000 de la Gauchetière West, Montreal H3B 4W5
CONTACT Taras Klymenko, vice-president, institutional business development
TEL 416-214-8338 FAX 416-364-4472
EMAIL taras.klymenko@cibc.ca
SERVICES PROVIDED B, D
ASSETS UNDER INVESTMENT MANAGEMENT
DC: $485.3 mil
LIF/RRIF: $111.8 mil
% ASSETS MANAGED INTERNALLY: 100%

Desjardins Financial Security
2 Complexe Desjardins, Montreal H3B 1E2
CONTACT Jean-François Pelletier, regional vice-president, business development, national accounts
TEL 514-350-8700 FAX 514-285-8766
EMAIL jeanfrancois.pelletier@dso.ca
SERVICES PROVIDED A, B, C, D, E
ASSETS UNDER ADMINISTRATION
DC: $836.6 mil; Clients: 801; Lives: 37,250
Group RRSP: $1,582.4 mil; Clients: 4,317; Lives: 115,247
DPSP: $84.4 mil; Clients: 504; Lives: 20,924
TFSA: $0.9 mil; Clients: 75; Lives: 481
LIF/RRIF: $6.3 mil; Clients: 18; Lives: 104
Other Non-registered: $19.3 mil; Clients: 24; Lives: 367
% ASSETS MANAGED INTERNALLY: 100%
% SUBSIDIARY: Desjardins Global Asset Management

SUB-ADVISORS: BlackRock Asset Management Canada Ltd.; Acuity Investment Management Inc.; Invesco; McLean Budden Ltd.; Pyramis Global Advisors (A Fidelity Investments Company); Greystone Managed Investments Inc.; Sprucegrove Investment Management Ltd.

Buck Consultants
Suite 3000, 155 Wellington Street West, Toronto M5V 3H1
CONTACT Marina Scassa, director, global identity marketing
TEL 416-865-0060 FAX 416-865-1099
EMAIL marina.scassa@buckconsultants.com
SERVICES PROVIDED A
ASSETS UNDER ADMINISTRATION
DC: $993.0 mil; Clients: 2; Lives: 13,790
Group RRSP: $667.3 mil; Clients: 7; Lives: 39,978
DPSP: $417.3 mil; Clients: 3; Lives: 43,869
EPSP: $745.1 mil; Clients: 6; Lives: 63,326
LIF/RRIF: $28.0 mil; Clients: 113
Other Non-registered: $6,599.9 mil; Clients: 10; Lives: 127,616
% ASSETS MANAGED BY SUBSIDIARY: 64.4%
SUBSIDIARY: Addenda Capital Inc.

SUB-ADVISORS: Addenda Capital; Baillie Gifford & Co. Ltd.; AllianceBernstein LP; Beutel, Goodman & Company Ltd.; Bissett Investment Management; BlackRock Asset Management Canada Ltd.; CBRE Global Investments; Northwest & Ethical Investment L.P.; Gestion FÉRIQUE; Pyramis Global Advisors (A Fidelity Investments Company); Fiera Sceptre Inc.; GE Asset Management Inc.; Greystone Managed Investments Inc.; Hexalvest Inc.; Jarislowsky Fraser; Letko, Brossseau & Associates Inc.; McLean Budden Ltd.; MFS Investment Management Inc.; Montrusco Bolton Investments Inc.; Sprucegrove Investment Management Ltd.; Invesco Canada Ltd.; UBS Global Asset Management (Canada); Franklin Templeton Investments Corp.
Fiera Sceptre Inc.
(Formerly listed as Sceptre Investment Counsel Ltd.)
Suite 2020, 1 Queen Street East, Toronto M5C 2W5
CONTACT Robert Lorimer, vice-president
TEL 416-601-9898 FAX 416-955-4877
EMAIL rlorimer@fierasceptre.ca

ASSETS UNDER INVESTMENT MANAGEMENT
DC: $1,473.0 mil
Group RRSP: $213.0 mil
DPSP: $12.0 mil
Other Non-registered: $28.8 mil
% ASSETS MANAGED INTERNALLY: 97.2%
% ASSETS MANAGED BY SUBSIDIARY: 1.5%
SUBSIDIARY: Fiera Axium
ASSETS MANAGED BY SUB-ADVISOR: 1.3%
SUB-ADVISOR: Alliance Bernstein

Franklin Templeton Institutional
(A Division of Franklin Templeton Investments)
Suite 1400, 200 King Street West, Toronto M5H 3T4
CONTACT Duane Green, senior vice-president, institutional investment services
TEL 416-957-6165 FAX 416-364-6643
EMAIL dgreen2@franklintempleton.ca

ASSETS UNDER INVESTMENT MANAGEMENT
DC: $1,731.2 mil
% ASSETS MANAGED INTERNALLY: 100%

Great-West Life
255 Dufferin Avenue, London, Ont. N6A 4K1
CONTACT Jeff Aarssen, vice-president, sales & marketing, Great-West Life Group Retirement Services
TEL 519-435-7800 FAX 519-435-7038
EMAIL jeff.aarssen@gwl.ca

ASSETS UNDER ADMINISTRATION
DC: $156.6 mil; Clients: 5,615; Lives: 3,503
Group RRSP: $959.0 mil
TFSA: $14.0 mil
LIF/RRIF: $176.0 mil
Other Non-registered: $745.0 mil
% ASSETS MANAGED INTERNALLY: 12.7%
% ASSETS MANAGED BY SUBSIDIARY: 35.6%
SUBSIDIARY: GLC Asset Management Group Ltd.
% ASSETS MANAGED BY SUB-ADVISORS: 54%

Group Financial Services
(Formerly listed as RBC Asset Management Inc.)
155 Wellington Street West, Toronto M5V 3K7
CONTACT Martha Hirst, senior manager, enterprise sales support
TEL 416-955-6087 FAX 416-955-7679
EMAIL martha.hirst@rbc.com

ASSETS UNDER INVESTMENT MANAGEMENT
Printed by: Hart Communications Inc.
1700, 3400 Yonge Street, Toronto M4P 2L1

dgregoire@leggmasoncanada.com

Industrial Alliance Insurance & Financial Services Inc.
1080 Grande Allée West, Station Terminus, PO Box 1907, Quebec City G1K 7M3
CONTACT Jessi Gaulin, co-ordinator, actuarial services & marketing
TEL 418-684-5000 FAX 418-684-5187
EMAIL jessi.gaulin@inalco.com

ASSETS PROVIDED A, B, C, D, E

ASSETS UNDER ADMINISTRATION
DC: $1,176.6 mil; Clients: 802; Lives: 51,676
Group RRSP: $1,133.7 mil; Clients: 3,099; Lives: 80,928
DPSP: $139.7 mil; Clients: 594; Lives: 21,564
TFSA: $1.4 mil; Clients: 38; Lives: 338
LIF/RRIF: $34.1 mil; Clients: 189; Lives: 446
Other Non-registered: $120.6 mil; Clients: 85; Lives: 2,928

ASSETS UNDER INVESTMENT MANAGEMENT
DC: $1,277.5 mil
Group RRSP: $1,135.4 mil
DPSP: $139.7 mil
TFSA: $1.4 mil
LIF/RRIF: $34.1 mil
Other Non-registered: $161.6 mil
% ASSETS MANAGED INTERNALLY: 45.8%
% ASSETS MANAGED BY SUB-ADVISORS: 54.2%

Invesco
Suite 700, 120 Bloor Street East, Toronto M4W 1B7
CONTACT Bruce Winch, senior vice-president
TEL 416-324-7448
EMAIL bruce.winch@invesco.com

ASSETS PROVIDED A

ASSETS UNDER ADMINISTRATION
DC: $1,520.0 mil
Group RRSP: $1,767.0 mil
DPSP: $247.0 mil
% ASSETS MANAGED INTERNALLY: 100%

Guardian Capital LP
Suite 3100, 199 Bay Street, Commerce Court West, Toronto M5L 1E8
CONTACT Joyce Hum, vice-president, consultant relations
TEL 416-947-4098 FAX 416-364-9634
EMAIL jhum@guardiancapital.com

ASSETS UNDER INVESTMENT MANAGEMENT
DC: $156.6 mil
Group RRSP: $11,422.0 mil
Other Non-registered: $4,975.5 mil
% ASSETS MANAGED INTERNALLY: 100%

Legg Mason Canada Inc.
220 Bay Street, 4th Floor, Toronto M5J 2W4
CONTACT David Gregoire, managing director, head of distribution
TEL 416-860-0616 FAX 416-860-0628
EMAIL dgregoire@leggmasoncanada.com

ASSETS PROVIDED B

ASSETS UNDER ADMINISTRATION
DC: $261.6 mil
Group RRSP: $1.3 mil
% ASSETS MANAGED BY SUB-ADVISORS: 100%
**2011 CAP SUPPLIERS Directory**

**SUB-ADVISORS:** Batterymarch Financial Management; Brandywine Global Investment Management, LLC; Global Currents Investment Management, LLC; Legg Mason Capital Management, LLC; Western Asset Management Company

**Mackenzie Financial Corp.**
180 Queen Street West, Toronto M5V 3K1
CONTACT Rob Neish, senior vice-president, group plans
TEL 416-967-2311 FAX 416-922-5660
EMAIL rneish@mackenziefinancial.com

**ASSETS UNDER ADMINISTRATION**
DC: $163.0 mil; Clients: 249; Lives: 7,670
Group RRSP: $595.0 mil; Clients: 5,247; Lives: 49,377
DPSP: $74.0 mil; Clients: 514; Lives: 9,714
RESP: $2.0 mil; Clients: 48; Lives: 178
TFSAs: $0.3 mil; Clients: 36; Lives: 114
Other Non-registered: $4.0 mil; Clients: 53; Lives: 498

**ASSETS UNDER INVESTMENT MANAGEMENT**
DC: $163.0 mil
Group RRSP: $595.0 mil
DPSP: $74.0 mil
RESP: $2.0 mil
TFSAs: $0.3 mil
Other Non-registered: $4.0 mil
% ASSETS MANAGED INTERNALLY: 85%
% ASSETS MANAGED BY SUB-ADVISERS: 15%

**SUB-ADVISORS:** Waddell & Reid; Blue Water; Batterymarch Financial

**Mawer Investment Management Ltd.**
Suite 900, 603-7th Avenue South West, Calgary T2P 2T5
CONTACT Alexis Slater, senior reporting analyst
TEL 403-267-1957
EMAILDSL Slater@mawer.com

**ASSETS UNDER INVESTMENT MANAGEMENT**
DC: $371.6 mil
TFSAs: $6.5 mil
LIF/RRIF: $67.2 mil
% ASSETS MANAGED INTERNALLY: 100%

**McLean Budden Ltd.**
Suite 2525, 145 King Street West, Toronto M5H 1J8
CONTACT Alan Daxner
TEL 416-862-9800 FAX 416-862-0167
EMAIL adaxner@mcleanbudden.com

**ASSETS UNDER INVESTMENT MANAGEMENT**
DC: $317.6 mil
TFSAs: $6.5 mil
LIF/RRIF: $67.2 mil
% ASSETS MANAGED INTERNALLY: 100%

**Morneau Shepell**
(Formerly listed as Morneau Sobeco)
Morneau Shepell
CONTACT Idan Shlesinger, managing partner, CAP services
TEL 416-385-2116 FAX 416-445-7989
EMAIL ishlesinger@morneaushepell.com

**ASSETS UNDER ADMINISTRATION**
DC: $1,312.0 mil; Clients: 42; Lives: 42,038

**Mornex Shepell**
Formerly listed as Mornex Shepell
CONTACT Richard Self, vice-president, corporate development & communications
TEL 1-888-880-5588 FAX 1-800-666-9899
EMAIL data@phn.com

**Phillips, Hager & North Investment Management Ltd.**
200 Burrard Street, 20th Floor, Vancouver V6C 3N5
CONTACT Richard Self, vice-president, corporate development & communications
TEL 1-888-880-5588 FAX 1-800-666-9899
EMAIL data@phn.com

**National Bank Trust Inc.**
1100 University Street, 12th Floor, Montreal H3B 2G7
CONTACT Robert Daigneault, vice-president, institutional client services
TEL 514-871-7361 FAX 514-871-7147
EMAIL robert.daigneault@nbca.ca

**ASSETS PROVIDED A, B, D**

**ASSETS UNDER ADMINISTRATION**
DC: $48.3 mil; Clients: 120; Lives: 3,232
Group RRSP: $1,798.9 mil; Clients: 1,334; Lives: 64,733
DPSP: $63.6 mil; Clients: 302; Lives: 8,397
TFSAs: $34.5 mil; Clients: 9; Lives: 3,448
LIF/RRIF: $127.1 mil; Clients: 80; Lives: 1,235
Other Non-registered: $770.3 mil; Clients: 6; Lives: 7,010

**ASSETS UNDER INVESTMENT MANAGEMENT**
DC: $51.2 mil
Group RRSP: $443.5 mil
DPSP: $63.6 mil
TFSAs: $0.2 mil
LIF/RRIF: $16.3 mil
Other Non-registered: $4.4 mil
% ASSETS MANAGED BY SUB-ADVISERS: 100%

**Russell Investments Canada Ltd.**
Suite 5900, 100 King Street West, 1 First Canadian Place, PO Box 476, Toronto M5X 1E4
**2011 CAP SUPPLIERS Directory**

**The Standard Life Assurance Company of Canada**

1245 Sherbrooke Street West, Montreal H3G 1G3

CONTACT Sebastien Wanadi, senior team leader, strategy – research & intelligence

TEL 514-499-7999 ext. 5850 FAX 514-499-8858

EMAIL sebastien.wanadi@standardlife.ca; researchAndIntelligence@standardlife.ca

% ASSETS MANAGED INTERMEDIATELY: 100%

**ScotiaMcLeod**

Scotia Plaza, 40 King Street West, PO Box 4085, Station A, Toronto M5H 1H1

CONTACT Bruce Moir, product manager

TEL 416-945-4653 FAX 416-350-1121

EMAIL bruce_moir@scotiacapital.com

% ASSETS MANAGED INTERMEDIATELY: 100%

**SEI Investments**

Suite 1600, 70 York Street, Toronto M5J 1S9

CONTACT Michael Chwalka, director, institutional sales

TEL 416-847-3670 FAX 416-777-9093

EMAIL mchwalka@seic.com

% ASSETS MANAGED INTERMEDIATELY: 100%

**SSQ Life Insurance Company Inc.**

1245, Chemin Ste-Foy, Suite 210, Building 1, Quebec City G1S 4P2

CONTACT Marc Trépanier, vice-president, business development, individual & group savings

TEL 418-650-3467 FAX 418-688-7791

EMAIL marc.trepanier@ssq.ca

% ASSETS MANAGED INTERMEDIATELY: 100%

**TD Asset Management Inc.**

161 Bay Street, 34th Floor, TD Canada Trust Tower, Toronto M5J 2T2

CONTACT Robin Lacey, vice-chair, head of external distribution

TEL 416-983-6313 FAX 416-944-6158

EMAIL robin.lacey@tdam.com; donna.richardson@tdam.com; yuriy.mandra@tdam.com

% ASSETS MANAGED INTERMEDIATELY: 100%

**TD Future Builder**

161 Bay Street, TD Canada Trust Tower, Toronto M5J 2T2

CONTACT Mark Ross

TEL 416-338-3258

EMAIL mark.ross@td.com

% ASSETS MANAGED INTERMEDIATELY: 100%